

Respiratory Insufficiency: Common Complications and Conditions

CCC: CCC-045 (ISC)

[Link to Codes](#)

MCG Health

Inpatient & Surgical Care
30th Edition

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Prevention

- Prevention issues and possible preventive measures include(1):
 - Monitor pulmonary function in patient with neuromuscular disease (eg, Guillain-Barre syndrome or myasthenia gravis).[A](2)(3)(4)(5)
 - Screen patients preoperatively for sleep apnea.[B](6)(7)(8)(9)
 - Use CPAP or BPAP postoperatively in patient with obstructive sleep apnea.(9)
 - Use BPAP or noninvasive ventilation[C] for patients with known or suspected obesity hypoventilation syndrome.(10)
 - Preoperative anesthesiology evaluation for patient with history of prior difficult intubation, distorted airway anatomy, sleep apnea, or other finding suspicious for a difficult airway.[D](6)(11)
 - Use CPAP for patients with postoperative atelectasis.(12)
 - Consider BPAP or combined noninvasive ventilation[C] with high-flow nasal oxygen post extubation to prevent reintubation in patients at high risk of extubation failure (eg, age older than 65 years, underlying cardiac or respiratory disease, neuromuscular weakness).(4)(13)
 - Consider noninvasive ventilatory support (eg, high-flow nasal cannula (HFNC), CPAP, BPAP) to avoid intubation for patients in acute respiratory failure.(14)
 - Use lung-protective low tidal volume ventilation intraoperatively.[E](1)(12)(15)(16)
- ☐ Identify adult at risk for postoperative respiratory complications, including(1)(17):
 - Current cigarette use
 - American Society of Anesthesiologists class II or greater American Society of Anesthesiologists (ASA) Physical Status Classification System (1)
 - Elevated pulmonary complication risk (eg, ARISCAT) score[F]
 - Functionally dependent
 - Serum albumin level less than 3.5 g/dL (35 g/L)(1)
 - Preoperative Hypoxemia
 - Obesity (BMI greater than 35)  BMI Calculator

- COPD
- Severe or poorly controlled asthma
- Chronic heart failure
- Pulmonary hypertension(18)
- Poorly controlled asthma
- Sleep apnea(6)(8)
- Obesity hypoventilation syndrome(9)
- Bronchiectasis
- Tracheomalacia
- Severe neuromuscular or neurologic disease (eg, impaired respiratory effort)(4)
- Thoracic, aortic, upper abdominal, or emergency surgery
- Prolonged surgery (eg, longer than 3 hours)
- Emergent surgery

☐ Identify child at risk for postoperative respiratory complications, including(5)(16):

- Elevated pulmonary complication risk (eg, SPORC-C) score[G](19)
- Age younger than 3 years
- Premature infant
- Lung disease (eg, asthma, cystic fibrosis)
- Congenital heart disease
- Neurologic disease
- Obstructive sleep apnea
- Tobacco inhalation (smoking, passive smoking, vaping)(1)
- Obesity (BMI greater than 35)  BMI Calculator
- Preoperative anemia
- American Society of Anesthesiologists class III or greater American Society of Anesthesiologists (ASA) Physical Status Classification System
- Emergent or otolaryngology procedure
- Use postoperative lung expansion modalities (eg, deep breathing exercises, positive-pressure breathing) for patient identified as higher risk for surgical pulmonary complications.(1)(16)(20)(21)
- Use perioperative and postoperative bronchodilators for patients with a history of bronchospasm.(22)
- Use perioperative and postoperative pulmonary artery pressure monitoring and pulmonary arterial antihypertensive agents for patients with severe pulmonary arterial hypertension.(18)
- Use reminders to improve adherence to incentive spirometry when prescribed.[H](21)
- Provide preoperative education regarding patient incentive spirometry or deep breathing exercises and need for early ambulation postoperatively.(1)
- Provide preoperative smoking cessation counseling(1)
- Limit use of opiates, benzodiazepines, and other respiratory depressant agents when possible (particularly in patients with sleep apnea, obesity hypoventilation syndrome, or neuromuscular weakness).(4)(6)(9)(23)
- Use Multimodal analgesia.(1)(24)
- Pretreat with corticosteroid and antihistamine for patient at high risk of anaphylaxis (eg, prior severe anaphylaxis, beta-blocker use, cardiovascular disease) who requires contrast study.(25)
- Use pharmacologic and/or mechanical prophylaxis against venous thromboembolic disease.(26) See Venous Thrombosis and Pulmonary Embolism: Common Complications and Conditions  ISC.

- o Employ prophylactic measures to prevent hospital-acquired pneumonia.(27)(28)(29) See Hospital-Acquired Pneumonia and Atelectasis: Common Complications and Conditions [ISC](#).
- o Screen and treat high-risk patient for dysphagia and aspiration.(3)(30)(31) See Hospital-Acquired Pneumonia and Atelectasis: Common Complications and Conditions [ISC](#).

Clinical Indications for Ongoing Inpatient Care

- Ongoing inpatient care is indicated for **1 or more** of the following(5)(32)(33)(34):
 - o Need for acute invasive or noninvasive mechanical ventilation[C](35)
 - o Respiratory distress (eg, significant new-onset Tachypnea, dyspnea, persistent heart rate 95 or greater, use of accessory muscles of respiration)[I](35)(36)
 - o Altered mental status due to respiratory disease(35)
 - o Airway obstruction or stridor(1)(37)(38)(39)
 - o Hypoxemia
 - ☐ Significant hypoventilation, as indicated by **1 or more** of the following(33):
 - Hypercarbia (PaCO₂ greater than 45 mm Hg (6.0 kPa) with respiratory acidosis (pH less than 7.35))
 - Documented partial pressure of carbon dioxide (PaCO₂) increase greater than 5 mm Hg (0.7 kPa) from disease baseline
 - ☐ Acute or worsening neuromuscular weakness with **1 or more** of the following(2)(3):
 - Vital capacity less than 20 mL/kg of ideal body weight
 - 30% decrease in vital capacity over 24 hours(2)
 - Vital capacity less than 1 liter
 - Maximum inspiratory pressure greater than -30 cm H₂O (-2942 Pa)
 - Maximum expiratory pressure less than 40 cm H₂O (3923 Pa)
 - Nocturnal oxygen desaturation

Alternatives to Inpatient Care

- Generally not used[J][K]

Discharge

- Extended stay beyond goal length of stay for primary condition may be needed until **ALL** of the following are present(5)(32)(33)(34):
 - o Evaluation of cause of respiratory insufficiency complete
 - o Suctioning, pulmonary toilet, or other therapy performable at lower level of care
 - o Respiratory insufficiency resolved or manageable at lower level of care
 - o Anticoagulation treatment not needed or can be performed at lower level of care(46)
 - o Medical comorbidities manageable at lower level of care

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Footnotes

[A] Monitoring for neuromuscular disease may include vital capacity, maximum inspiratory pressure, maximum expiratory pressure, cough peak flow, and oximetry. (2)(3)(4) [A in Context Link 1]

[B] For adults, the STOP-Bang questionnaire has a sensitivity of 88% to 93% for detecting moderate obstructive sleep apnea and 97% for detecting severe obstructive sleep apnea. A STOP-Bang score of 3 or greater has been associated with increased risk of postoperative respiratory complications.(6) An online calculator is available at <http://stopbang.ca/osa/screening.php>. For children, the Snoring, Trouble Breathing, and Un-Refreshed (STBUR) scale is a simple 5-item questionnaire derived from a larger sleep apnea instrument; a score of 3 or more has been found to be an independent predictor of perioperative respiratory complications.(7) [B in Context Link 1]

[C] Ventilatory assistance includes noninvasive methods (eg, high-flow nasal cannula (HFNC), CPAP, BPAP) and invasive methods (intubation with mechanical ventilation). [C in Context Link 1, 2, 3]

[D] Preparations for a difficult airway may include access to specialized equipment (eg, video laryngoscope, guidewires or bougies, supraglottic airway device), use of noninvasive ventilation during induction, ramped positioning, awake intubation, and anticipation of possible emergent surgical airway access.(6)(11) [D in Context Link 1]

[E] A meta-analysis of 19 studies encompassing 1548 patients randomized to protective intraoperative ventilation (mean tidal volume 6 to 8 mL/kg) or nonprotective intraoperative ventilation (mean tidal volume 10 to 12 mL/kg) who underwent abdominal, cardiac, spinal, knee, or pulmonary thromboendarterectomy found that protective ventilation reduced the incidence of postoperative pneumonia, reduced the need for postoperative noninvasive ventilation, and shortened length of stay. (15) [E in Context Link 1]

[F] The ARISCAT score predicts in-hospital postoperative pulmonary complications based on factors for age, preoperative SpO₂, anemia, location and duration of surgery, and whether surgery is emergent or elective. A score greater than 26 identifies patients at intermediate risk of pulmonary complications (13% to 42%), and a score greater than 45 identifies patients at high risk of pulmonary complications (greater than 42%). A calculator is available at www.mdcalc.com/calc/10022/ariscat-score-postoperative-pulmonary-complications#use-cases.(1) [F in Context Link 1]

[G] The SPORC-C score predicts in-hospital postoperative pulmonary complications based on factors including patient younger than 2 years, American Society of Anesthesiologists physical status greater than 3, body weight (obesity or underweight), type of surgery, dose of neuromuscular blocking agent, case duration, recent airway infection, congenital abnormalities, and being born preterm or small for gestational age. A score greater than 6 identifies patients at high risk of postoperative pulmonary complications (sensitivity 76%, specificity 81%, negative predictive value 99%).(19) [G in Context Link 1]

[H] A randomized trial of 145 postoperative coronary bypass patients found that automated hourly reminders for incentive spirometry led to increased incentive spirometry usage.(21) [H in Context Link 1]

[I] Criteria based upon clinician-acquired numeric values (eg, vital signs, oxygen saturation) should be used if they are accurate reflections of the patient's condition. Transitory findings (eg, abnormal only upon initial emergency department intake or only one time out of multiple readings) that rapidly improve with no or minimal treatment usually do not reflect disease severity or risk for deterioration. This does not imply that an initial or one-time reading cannot ever be applicable. The goal is to separate erroneous or incidental findings from those that truly represent the patient's clinical picture. [I in Context Link 1]

[J] Palliative care or formal hospice enrollment may be indicated for end-stage disease.(40)(41) [J in Context Link 1]

[K] Home noninvasive or invasive ventilation may be appropriate for select patients with respiratory failure.(4)(42)(43)(44)(45) [K in Context Link 1]

Definitions

Altered mental status

- Altered mental status (ie, different from baseline), as indicated by **1 or more** of the following(1)(2)(3)(4):
 - Confusional state (eg, disorientation, difficulty following commands, deficit in attention)
 - Lethargy (eg, awake or arousable, but with drowsiness; reduced awareness of self and environment)
 - Obtundation (ie, arousable only with strong stimuli, lessened interest in environment, slowed responses to stimulation)
 - Stupor (ie, may be arousable but patient does not return to normal baseline level of awareness)
 - Coma (ie, not arousable)

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American Society of Anesthesiologists (ASA) Physical Status Classification System

- American Society of Anesthesiologists (ASA) Physical Status Classification System^[A](2)(3)(4)
 - Class I: Normal healthy patient
 - Class II: Patient with mild systemic disease
 - Class III: Patient with severe systemic disease
 - Class IV: Patient with severe systemic disease that is constant threat to life
 - Class V: Moribund patient who is not expected to survive without the operation

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Footnotes

- A. Note: There is no accepted standardization of terms used in this classification system.(1)

Hypoxemia

- Hypoxemia, as indicated by **1 or more** of the following(1):
 - Patient without baseline need for supplemental oxygen with oxygen saturation (SpO₂) of less than 90% or arterial blood gas partial pressure of oxygen (PaO₂) of less than 60 mm Hg (8.0 kPa) on room air[A][B]
 - Patient without baseline need for supplemental oxygen who now requires supplemental oxygen to keep SpO₂ greater than 89% or PaO₂ greater than 59 mm Hg (7.9 kPa)[A]
 - Patient with baseline need for supplemental oxygen who now requires increased supplemental oxygen to maintain oxygenation at baseline or acceptable level

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Footnotes

- A. Pulse oximetry (SpO₂) may underestimate arterial saturation (SaO₂), especially in people with dark skin pigmentation, thick skin, cold body temperature, or who are wearing colored nail polish, and thus may not accurately detect hypoxemia.(2) Where appropriate, pulse oximetry should be measured after warming fingers or removing nail polish. Pulse oximetry results should be assessed within the context of the patient's clinical presentation, and performance of an arterial blood gas considered for a more accurate assessment of oxygenation if indicated.(2) Specific target values may require adjustment when care is delivered at high altitude.(3)
- B. Criteria based upon clinician-acquired numeric values (eg, vital signs, oxygen saturation) should be used if they are accurate reflections of the patient's condition. Transitory findings (eg, abnormal only upon initial emergency department intake or only one time out of multiple readings) that rapidly improve with no or minimal treatment usually do not reflect disease severity or risk for deterioration. This does not imply that an initial or one-time reading cannot ever be applicable. The goal is to separate erroneous or incidental findings from those that truly represent the patient's clinical picture.

Multimodal analgesia

- Multimodal analgesia involves the utilization of 2 or more analgesic agents with different mechanisms of action in order to provide additive or synergistic pain control, while minimizing side effects and reliance on opioids.(1)(2)(3)

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Tachypnea

- Tachypnea,[A][B] as indicated by **1 or more** of the following:
 - Respiratory rate greater than 20 breaths per minute in adult[A][B](1)
 - Respiratory rate greater than 18 breaths per minute in child 13 to 17 years of age[A][B](2)
 - Respiratory rate greater than 22 breaths per minute in child 6 to 12 years of age[A][B](2)
 - Respiratory rate greater than 25 breaths per minute in child 3 to 5 years of age[A][B](2)
 - Respiratory rate greater than 30 breaths per minute in child 1 or 2 years of age[A][B](2)
 - Respiratory rate greater than 40 breaths per minute in infant 6 to 11 months of age[A][B](2)
 - Respiratory rate greater than 45 breaths per minute in infant 3 to 5 months of age[A][B](2)
 - Respiratory rate greater than 55 breaths per minute in infant 1 or 2 months of age[A][B](2)

References

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- Anderson CC, Kapoor S, Mark TE. Pediatric parameters and equipment. In: Anderson CC, Kapoor S, Mark TE, editors. The Harriet Lane Handbook: A Manual for Pediatric House Officers. 23rd ed. Elsevier; 2024:i-iii.

Footnotes

- Criteria based upon clinician acquired numeric values (eg, vital signs, oxygen saturation) should be used if they are accurate reflections of the patient's condition. Transitory findings (eg, abnormal only upon initial emergency department intake or only one time out of multiple readings) that rapidly improve with no or minimal treatment usually do not reflect disease severity or risk for deterioration. This does not imply that an initial or one-time reading cannot ever be applicable. The goal is to separate erroneous or incidental findings from those that truly represent the patient's clinical picture.
- Interpretation of respiratory rate requires clinical judgment and consideration of several patient-specific factors, such as the patient's baseline respiratory rate and whether the respiratory rate is indicative of significant underlying respiratory or other pathology. A mildly increased respiratory rate (eg, 20 to 22 breaths per minute in an adult), absent other indications of serious illness (eg, hypoxemia, metabolic acidosis, decreased tidal volume, pain), may be transitory and not clinically important. The numeric values included in this definition are provided to allow for consistency in terms of a technical definition of the term tachypnea. Whether a respiratory rate above the technical threshold is clinically meaningful is a matter of persistence, context, and clinical judgment. In addition, the measurement of the respiratory rate is subject to error. A medical textbook states that because there can be significant breath-to-breath variation in respiratory rate, the rate should be assessed for at least 30 seconds, preferably for a full minute.(1) This same textbook also states that new technologies designed to measure the respiratory rate have not proved to be clinically useful.(1)

Codes

ICD-10 Diagnosis: J41.0, J41.1, J41.8, J42, J43.0, J43.1, J43.2, J43.8, J43.9, J44.0, J44.1, J44.81, J44.89, J44.9, J45.20, J45.21, J45.22, J45.30, J45.31, J45.32, J45.40, J45.41, J45.42, J45.50, J45.51, J45.52, J45.901, J45.902, J45.909, J45.990, J45.991, J45.998, J68.4, J80, J81.0, J82.83, J95.1, J95.2, J95.3, J95.821, J95.822, J95.859, J96.00, J96.01, J96.02, J96.10, J96.11, J96.12, J96.20, J96.21, J96.22, J96.90, J96.91, J96.92, J98.01, O29.60, O29.61, O29.62, O29.63, O89.6, R06.03, R09.01, R09.2 [Hide]

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